

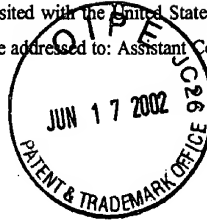
Docket No. 2000-096DIV5  
**PATENT**

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on 6/11/2002.

BY: Annie Wong

Annie Wong



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Boussie et al.

Serial No.: 09/992,630

Filed: 11/6/2001

For: Substituted Pyridyl Amine Complexes and Catalysts

Group Art 1754

Unit:

Examiner: Unknown

Assistant Commissioner for Patents  
Washington D.C. 20231

**RECEIVED**  
JUN 21 2002  
**TC 1700**

**TRANSMITTAL LETTER**

Sir:

Transmitted herewith (check all that apply):

- |  |   |
|--|---|
| <input type="checkbox"/> Preliminary Amendment             | <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement |
| <input type="checkbox"/> Response/Amendment                | <input type="checkbox"/> Petition Under 37 CFR 1.97(d)(2)                         |
| <input type="checkbox"/> Response/Amendment After Final    | <input type="checkbox"/> Formal Drawings  |
| <input type="checkbox"/> Supplemental Amendment            | <input type="checkbox"/> Declaration Under 37 CFR 1.131                           |
| <input type="checkbox"/> Affidavits/Declarations           | <input type="checkbox"/> Declaration Under 37 CFR 1.132                           |
| <input type="checkbox"/> Declaration and Power of Attorney | <input type="checkbox"/> Terminal Disclaimer                                      |
| <input type="checkbox"/> Supplemental Declaration          | <input type="checkbox"/> Small Entity Statement                                   |
| <input type="checkbox"/> Power of Attorney                 | <input type="checkbox"/> Request for Refund                                       |
| <input type="checkbox"/> Change of Correspondence Address  | <input type="checkbox"/> Appeal   |
| <input type="checkbox"/> Associate Power of Attorney       | <input type="checkbox"/> Petition   |
| <input type="checkbox"/> Response to Missing Parts         | <input type="checkbox"/> Status Letter  |

to be filed in the above-identified patent application.

**TOTAL AMOUNT OF PAYMENT: \$0.00**

**METHOD OF PAYMENT**

The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 50-0496

Deposit Account Name: Symyx Technologies, Inc.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Charge the Issue Fee Set at 37 CFR 1.18 at the Mailing of the Notice of Allowance

**FEE CALCULATION**

**1. EXTRA CLAIM FEES**

			Extra Claims		Fee from below		Fee Paid
Total Claims		-20**	=		X	=	
Independent Claims		-3**	=		X	=	
Multiple Dependent Claims (first appearance) \$280/140							

\*\*or number previously paid, if greater; For Reissues, see below

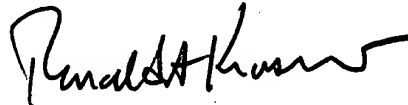
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent
				<b>SUBTOTAL (2) (\$)</b>

2. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge – late filing fee or oath	
127	50	227	25	Surcharge – late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive – unavoidable	
141	1,280	241	640	Petition to revive – unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify)					
SUBTOTAL (3) (\$)					

\*Reduced by Basic Filing Fee Paid

Respectfully submitted,



Ronald A. Krasnow  
Reg. No. 33,321  
Attorney for Applicant(s)

Date: 6-11-02

Symyx Technologies  
3100 Central Expressway  
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BY:

Annie Wong



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TC 1700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Boussie et al.

Serial No.: 09/992,630

Filed: November 6, 2001

For: Substituted Pyridyl Amine  
Complexes and Catalysts

Group Art Unit: 1754

Examiner: Unknown

Santa Clara, California

~~May~~, 2002

June 11,

Assistant Commissioner for Patents and Trademarks  
Washington, D.C. 20231

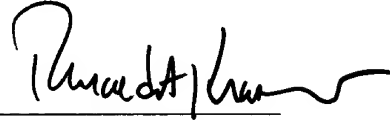
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants request that the references cited herein be considered in connection with the examination of this application. The references are listed on the attached PTO-1449 form. Each item of information contained in the information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.


Attorney Docket No. 2000-096DIV5

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Ronald A. Krasnow", with a horizontal line underneath.

Ronald A. Krasnow  
Registration No. 33,321  
Attorney for Applicant

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<b>SUPPLEMENTAL INFORMATION DISCLOSURE CITATION</b>   PTO-1449				ATTORNEY'S DOCKET NO.:		APPLICATION NO.:	
				2000-096DIV5		09/992,630	
				APPLICANT:  Boussie et al.			
FILING DATE: 11/06/2001				GROUP: 1754			
<b>US PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
<b>FOREIGN DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO	
	JP 00 239313	9/05/00	Japan				X
	JP 01 048936	2/20/01	Japan				X
	WO 99/01460	1/14/99	PCT				
	WO 00/20427	4/13/00	PCT				
	WO 00/37511	6/29/00	PCT				
	WO 01/30862	5/03/01	PCT				
	WO 01/40325	6/07/01	PCT				
	WO 01/40330	6/07/01	PCT				
<b>OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages Etc.)</b>							

Examiner: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.